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09-02-03

DOCKET NO. MS174299.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **De Jong, et al.**
Serial No.: **09/893,031**
Filed: **June 27, 2001**
For: **Unified Serialization Architecture**
Art Unit: **2183**
Examiner: **Unknown**


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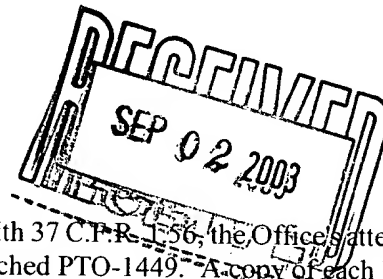
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Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: 



1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:
Filing Date:

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.
- (c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action

notice of allowance.

(1) ___ The required certification is given below, or

(2) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

(d) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

4. Certification (if applicable)

(a) ___ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted,
AMIN & TUROCY, LLP

By *Jeffrey R. Sadlowski*
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CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 8-21-2003

Jeffrey R. Sadlowski
Jeffrey R. Sadlowski



PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/893,031
Filing Date	June 27, 2001
First Named Inventor	De Jong
Art Unit	2183
Examiner Name	Unknown
Attorney Docket Number	MS174299.1/MSFTP253US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,501,852	12-31-2002	Clark, et al.	RECEIVED AUG 27 2003 Technology Center 2100
		US- 6,459,393	10-01-2002	Nordman	
		US- 6,292,933	09-18-2001	Bahrs, et al.	
		US- 6,119,219	09-12-2000	Webb, et al.	
		US- 5,895,492	04-20-1999	Greenspan, et al.	
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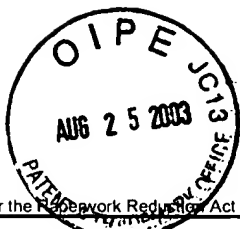
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Application Number 09/893,031

Filing Date June 27, 2001

First Named Inventor De Jong

Art Unit 2183

Examiner Name Unknown

Attorney Docket Number MS174299.1/MSFTP253US

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of

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RECEIVED**AUG 27 2003****Technology Center 2100****NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MARC-OLIVIER KILLIJIAN, JUAN-CARLOS RUIZ, and JEAN-CHARLES FABRE. Portable Serialization of CORBA Objects: a Reflective Approach. Proceedings of the 17th Annual ACM Conference on Object-Oriented programming, systems, languages, and applications, pp. 68-82, 2002.	
		FABIAN BREG and CONSTANTINE D. POLYCHRONOPOULOS. Java Virtual Machine Support for Object Serialization. Proceedings of the 2001 joint ACM-ISCOPE conference on Java Grande. pp. 173-180. 2001.	
		CHRISTIAN NESTER, MICHAEL PHILIPPSEN, and BERNHARD HAUMACHER. A More Efficient RMI for Java. Proceedings of the ACM 1999 Conference on Java Grande, pp. 152-159, 1999.	

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